

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,596	11/07/2001	Alex E. Henderson	21526-05579

00758
 FENWICK & WEST LLP
 TWO PALO ALTO SQUARE
 PALO ALTO, CA 94306

RECEIVED

JAN 14 2002

FENWICK & WEST LLP

FORMALITIES LETTER



OC000000007276986

Date Mailed: 01/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/28/2002 NMDHAMM1 00000020 10005596

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65.00 OP



PATENT

AKS

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANT(S): Alex E. Henderson and Walter E. Croft

APPLICATION NO.: 10/005,596

FILING DATE: November 7, 2001

TITLE: Switch-Based Network Processor

EXAMINER: Not yet known

GROUP ART UNIT: 2661

ATTY. DKT. NO.: 21526-05579

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, DC 20231, on the date shown below:

Dated: 2/15/02

By: Jeffrey S. Smith
Jeffrey S. Smith, Reg. No.: 39,377

**BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to the Notice to File Missing Parts dated January 8, 2002 received in the above-identified patent application,

Enclosed are:

- a copy of the Notice to File Missing Parts;
- an original, signed Declaration;
- an original, signed Power of Attorney;
- an Application Data Sheet;
- payment in the amount of \$65.00 for the
- application filing fee;

PATENT

- fee for additional claims; and
- missing parts surcharge.
- Other

Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
ALEX E. HENDERSON AND WALTER E.
CROFT

Dated: 2/5/02

By: Jeffrey S. Smith
Jeffrey S. Smith, Reg. No.: 39,377
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7642
Fax: (650) 494-1417

21526/05579/DOCS/1241389.1



PTO/SB/21 (modified)
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U.S. PATENT & TRADEMARK OFFICE

U.S. Department of Commerce
Patent and Trademark Office

Application Number **10/005,596**

Filing Date **November 7, 2001**

First Named Inventor **Alex E. Henderson**

Group Art Unit Number **2661**

Examiner Name **Not yet known**

Total Number of Pages in This Submission **9**

Attorney Docket Number **21526-05579**

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Formal Drawing(s):
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> [] Sheet(s) of Figure(s) []
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Power of Attorney	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Application Data Sheet	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Information Disclosure Statement & PTO-1449	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts
<input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
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<input type="checkbox"/> After Final	
<input type="checkbox"/> Status Request	
<input type="checkbox"/> Revocation and Substitute Power of Attorney	

REMARKS:

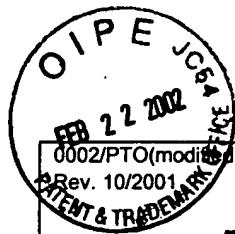
SIGNATURE OF ATTORNEY OR AGENT

Signature:	
Attorney/Reg. No.:	Jeffrey S. Smith / Reg. No.: 39,377
Dated: 2/5/02	

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	
Typed or Printed Name:	Jeffrey S. Smith
Express Mail Mailing Number (optional):	



0002/PTO(modified)

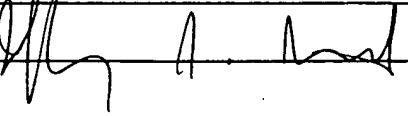
 U.S. Department of Commerce
 Patent and Trademark Office

FEE TRANSMITTAL
TOTAL AMOUNT OF PAYMENT

 Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 65.00)**

		Complete if Known	
Application Number	10/005,596		
Filing Date	November 7, 2001		
First Named Inventor	Alex E. Henderson		
Group Art Unit	2661		
Examiner Name	Not yet known		
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																											
1. The Commissioner is hereby authorized to: <p> <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account. <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. [†] <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27 </p> <p> Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP </p> <p> A Duplicate Copy of this authorization is attached </p> <p> 2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other </p>																																																																																																																																																													
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SUBMITTED BY		Complete (If applicable)	
Typed or Printed Name	Jeffrey S. Smith	Reg. Number	39,377
Signature		Date	2/5/02

21495/05942/DOCS/1236741.1